



SETTLEMENT INSTRUCTION (SI) / INVESTOR SETTLEMENT INSTRUCTION (ISI)
證券交收指示 / 投資者交收指示

Client Name : 客戶名稱:	Account No. : 戶口號碼:
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I/We hereby authorize Emperor Securities Limited (“ESL”) to arrange: 本人/吾等現授權英皇證券(香港)有限公司(「英皇證券」)安排:

<input type="checkbox"/> Receive the below securities for my/our securities account 將下列證券接收到本人/吾等之上述戶口	<input type="checkbox"/> Deliver the below securities from my/our securities account 將下列證券由本人/吾等之上述戶口交付
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and input the following Settlement Instruction (SI) / Investor Settlement Instruction (ISI) into Central Clearing and Settlement System (CCASS) with the details as follow: 並根據下列資料將交收指示 / 投資者交收指示輸入中央結算系統:

Name of Counterparty: 交收對手:	Contact Person and Telephone No. of Counterparty: 對手聯絡人姓名及聯絡電話:
CCASS Participant ID of Counterparty: 交收對手之中央結算系統參與者編號:	Value Date : 執行日期:

Stock Code 股票代號	Stock Name 股票名稱	Quantity 數量	Settlement Method 交收方式	For Office Use Only 供本公司專用		
				SI Input Charge	Settlement Fee	Total Charge
			<input type="checkbox"/> Free of Payment 無須付款 <input type="checkbox"/> *Against Payment 貨銀對付 HK\$港幣			
			<input type="checkbox"/> Free of Payment 無須付款 <input type="checkbox"/> *Against Payment 貨銀對付 HK\$港幣			
			<input type="checkbox"/> Free of Payment 無須付款 <input type="checkbox"/> *Against Payment 貨銀對付 HK\$港幣			
			<input type="checkbox"/> Free of Payment 無須付款 <input type="checkbox"/> *Against Payment 貨銀對付 HK\$港幣			

* “Free of Payment” will assume to be the default payment instruction if neither choice in the box was selected.
如沒有選擇框內的付款方式,本公司將視做為“無須付款交收”。

- I/We confirm that there is **no change in beneficial ownership** through effecting this settlement instruction.
本人/吾等確認上述股票的實益擁有權並沒有因進行本交收指示而作出變動。
- I/We confirm that there is **change in beneficial ownership** through effecting this settlement instruction. I/We also provide the original of stamped Bought / Sold note for your record.
本人/吾等確認上述股票的實益擁有權因進行本交收指示而作出變動。現附上已繳付印花稅之買賣單據正本以供保存。

Reason of change 變動原因:	Relationship 與本人/吾等之關係:	Tel. confirmation by: Date:
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I/We also undertake to indemnify and keep ESL indemnified in respect of any costs and expenses, including but not limited to stamp duty, whatsoever which may be suffered or incurred by you in connection with this settlement instruction.
本人/吾等亦承諾就本交收指示所可能令英皇證券蒙受或承擔的任何費用或開支,當中包括但不限於印花稅,向英皇證券作出彌償及使之獲得彌償。

Remarks: Please pass this document to our Settlement Department before 1:00pm for the same day settlement instructions.
備註: 如欲即日完成交收程序,務必於當日下午一時前填妥此文件交付或傳真至交收部。

Client's Signature 客戶簽署	Date 日期
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Signature Verified by,	Checked by,	Approved by,	Inputted by, (CCASS)	Approved by, (CCASS)	Special Approved by,		
					(≤\$20M)		(>20M)
Settlement Dept.	Settlement Dept.	Settlement Dept.	Settlement Dept.		Director-Operations/ Responsible Officer	Executive Director (1)	Executive Director (2)
Date	Date	Date	Date	Date	Date	Date	Date