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英皇證券集團有限公司\*  
Emperor Capital Group Limited

*(Incorporated in Bermuda with limited liability)*

**(Stock Code: 717)**

**ANNOUNCEMENT  
PURSUANT TO RULES 13.51B(2) AND 13.51(2)(n)(iv)  
OF THE LISTING RULES**

This announcement is made by Emperor Capital Group Limited (the “**Company**”, together with its subsidiaries, the “**Group**”) pursuant to Rules 13.51B(2) and 13.51(2)(n)(iv) of the Rules Governing the Listing of Securities (the “**Listing Rules**”) on The Stock Exchange of Hong Kong Limited.

Reference is made to the press releases of Securities and Futures Commission (the “**SFC**”) dated 16 March 2022 (the “**Press Release**”), among others, regarding its disciplinary action taken against Emperor Securities Limited and Emperor Futures Limited (both are wholly-owned subsidiaries of the Group, collectively the “**Subsidiaries**”) in respect of regulatory breaches related to Anti-Money Laundering and Counter-Terrorist Financing (Financial Institutions) Ordinance, the Guideline on Anti-Money Laundering and Counter-Terrorist Financing and the Code of Conduct for Persons Licensed by or Registered with the SFC (the “**Related Matter**”).

Ms. Daisy Yeung, the managing director and an executive director of the Company, is a director of the Subsidiaries and she has confirmed to the Company that she is not the subject of the Related Matter which, according to the Press Release, only concerned the Subsidiaries. Save as disclosed above, there is no other information relating to Ms. Daisy Yeung that is required to be disclosed pursuant to Rule 13.51(2)(h) to (v) of the Listing Rules.

*\* for identification purpose only*

The board of directors of the Company considers that the Related Matter does not have any material impact on the business operation and financial position of the Group and is not aware of any other matters that need to be brought to the attention of the shareholders of the Company.

**Shareholders of the Company and potential investors are reminded to exercise caution when dealing in the securities of the Company.**

By order of the Board of  
**Emperor Capital Group Limited**  
**Daisy Yeung**  
*Managing Director*

Hong Kong, 16 March 2022

As at the date of this announcement, the Board comprises:

*Executive Directors:*

Ms. Daisy Yeung  
Ms. Choi Suk Hing, Louisa  
Ms. Pearl Chan

*Independent Non-Executive Directors:*

Mr. Poon Yan Wai  
Ms. Wan Choi Ha  
Mr. Wong Tak Ming, Gary