



Settlement Instruction Form (S.I. Form) / 交收指示表

To 致: Emperor Securities Limited 英皇證券有限公司 Crosby Securities Limited 高誠證券有限公司
(Collectively called "the Company") (以下統稱「本公司」)

Account Name: 戶口名稱:

Account No.: 戶口號碼: ("Account") ("戶口") Runner Code: 客戶經理代號:

I/We hereby authorize Emperor Securities Limited and Crosby Securities Limited ("the Company") to arrange:
本人/吾等現授權英皇證券有限公司及高誠證券有限公司(「本公司」)安排:

Receive the below securities for my/our securities account
將下列證券接收到本人/吾等之上述戶口 Deliver the below securities from my/our securities account
將下列證券由本人/吾等之上述戶口交付

and input the following Settlement Instruction (S.I.) with the details as follow: 並根據下列資料將交收指示:

Name of Counterparty: 交易對手名稱:				Counterparty ID: 交易對手編號:	
Contact Person and Telephone no. of Counterparty: 交易對手聯絡人及聯絡電話:				Value Date: 執行日期:	
PSET 交收地點	Stock Code 股票代號	Stock Name 股票名稱	Quantity 數量	Settlement Method 交收方式	Charges (if any) 手續費 (如有)
				<input type="checkbox"/> Free of Payment 無須付款 <input type="checkbox"/> *Against Payment 貨銀對付 HKD / USD _____	
				<input type="checkbox"/> Free of Payment 無須付款 <input type="checkbox"/> *Against Payment 貨銀對付 HKD / USD _____	
				<input type="checkbox"/> Free of Payment 無須付款 <input type="checkbox"/> *Against Payment 貨銀對付 HKD / USD _____	
				<input type="checkbox"/> Free of Payment 無須付款 <input type="checkbox"/> *Against Payment 貨銀對付 HKD / USD _____	

*If no option is selected, settlement defaults to "Free of Payment". 若未勾選任何選項, 交收將自動預設為「無須付款交收」。

*If "Against Payment" is selected, please specify the currency (HKD/USD). 如選擇「貨銀對付」, 請註明所需貨幣 (港幣/美元)。

I/We confirm that there is **no change in beneficial ownership** through effecting this settlement instruction.
本人/吾等確認, 執行此項交收指示並不涉及實益擁有權的任何變更。

I/We confirm that there is change in beneficial ownership through effecting this settlement instruction.

I/We also provide the original of stamped Bought / Sold note for your record.

本人/吾等確認, 執行此項交收指示涉及實益擁有權之變更。同時, 本人/吾等亦隨附已加蓋印花的成交單據 (買賣票據) 正本, 以供存檔。

Reason of change: 變動原因:	Relationship: 與本人/吾等之關係:	For Office Use Only 供本公司專用
		Tel. Confirmation by: Date:

I/We also undertake to indemnify and keep the Company indemnified in respect of any costs and expenses, including but not limited to stamp duty, whatsoever which may be suffered or incurred by you in connection with this settlement instruction.

本人/吾等亦承諾就本交收指示所可能令本公司蒙受或承擔的任何費用或開支, 當中包括但不限於印花稅, 向本公司作出彌償及使之獲得彌償。

Remarks: To facilitate **same-day settlement**, completed documentation is submitted to Customer Service Department via hand delivery, facsimile, or email **no later than 1:00 PM on the current business day**. Any submissions received after this deadline will be processed on the following business day

備註: 如閣下欲辦理**即日交收**, 請務必於辦公當日下午一時正前, 將填妥之文件經由交付、傳真或電郵方式遞交至本公司客戶服務部, 逾時將視作翌日處理。

To be signed by the Client 由客戶簽署

Date 日期

Received by	Signature Verified by	Inputted by	Approved by	Special Arrangement (≥HKD\$20M)			
CS/AE	Sett. Dept.	Sett. Dept.	Sett. Dept.	Managing Director	Head of L&C	FC	CEO
Name:	Name:	Name:	Name:	Name:	Name:	Name:	Name:
Date:	Date:	Date:	Date:	Date:	Date:	Date:	Date: